



IAP6 Rec'd PCT/PTO 09 MAR 2006

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/520,824
Filing Date	January 7, 2005
First Named Inventor	Hirohisa TANAKA
Art Unit	1754
Examiner Name	Cam N. NGUYEN
Attorney Docket Number	71465.0008

ENCLOSURES (Check all that apply)

- | | | |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to TC |
| <input checked="" type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Terminal Disclaimer | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Request for Refund | Forms SB08A and SB08B with 30 cited foreign references |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Landscape Table on CD | |
| <input type="checkbox"/> Reply to Missing Parts/Incomplete Application | <input type="checkbox"/> Remarks | |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Akerman Senterfitt		
Signature			
Printed name	Jean C. Edwards, Esq. by Sean L. Ingram, Esq. - 48,283		
Date	March 9, 2006	Reg. No.	41,728

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

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Typed or printed name		Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (01-06)

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Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL
For FY 2006☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 180.00**Complete if Known**

Application Number	10/520,824
Filing Date	January 7, 2005
First Named Inventor	Hirohisa TANAKA
Examiner Name	Cam N. NGUYEN
Art Unit	1754
Attorney Docket No.	71465.0008

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____☒ Deposit Account Deposit Account Number: 50-0951 Deposit Account Name: Akerman Senterfitt

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17☒ Credit any overpayments**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION** (All the fees below are due upon filing or may be subject to a surcharge.)**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES**Fee Description**

Each claim over 20 (including Reissues)

Fee (\$)**Small Entity Fee (\$)**

50

25

Each independent claim over 3 (including Reissues)

200

100

Multiple dependent claims

360

180

Total Claims**Extra Claims****Fee (\$)****Fee Paid (\$)****Multiple Dependent Claims****Fee (\$)****Fee Paid (\$)**

- 20 or HP =

x

=

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims**Extra Claims****Fee (\$)****Fee Paid (\$)**

- 3 or HP =

x

=

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets**Extra Sheets****Number of each additional 50 or fraction thereof****Fee (\$)****Fee Paid (\$)**

- 100 =

/ 50 =

(round up to a whole number) x

=

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Fees Paid (\$)

Other (e.g., late filing surcharge): IDS fee (after issuance of Office Action)

180.00

SUBMITTED BY

Signature		Registration No. (Attorney/Agent) 41,728	Telephone 202-824-1719
Name (Print/Type)	Jean C. Edwards, Esq. by Sean L. Ingram, Esq. - 48,283	Date March 9, 2006	

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Hirohisa TANAKA et al.

Group Art Unit: 1754

Application No.: 10/520,824

Examiner: Cam N. NGUYEN

Confirmation No.: 2715

Attorney Docket No.: 71465.0008

Filed: January 7, 2005

Customer No.: 57362

For: EXHAUST GAS PURIFYING CATALYST

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sirs:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the U.S. Patent and Trademark Office is hereby directed to the references listed on the attached Forms PTO/SB/08A and PTO/SB/08B. It is requested that the information on Forms PTO/SB/08A and PTO/SB/08B be considered during the prosecution of this application, and that the references be made of record and appear among the "References Cited" on any issued patent.

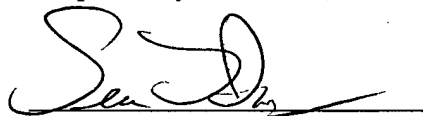
Copies of the documents cited on the PTO Forms SB/08A and SB/08B are submitted herewith, except for U.S. Patent and U.S. Patent Application publications which are no longer required to be submitted pursuant to 37 CFR 1.98 (a) (2) (ii).

The listed documents were cited in one or more of the following co-pending related applications 10/519,980, 10/520,068, 10/520,520, 10/520,823.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

This Information Disclosure Statement is being filed before receipt of a Final Action, Notice of Allowance or Quayle Action. The Commissioner is authorized to charge the fee under 1.17(p) of \$180 per the enclosed fee transmittal to Deposit Account No. 50-0951.

Respectfully submitted,

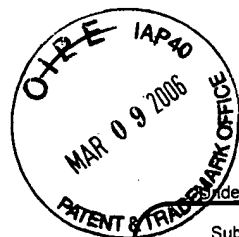


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PTO/SB/08A (07-05)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 5

Complete if Known

Application Number	10/520,824
Filing Date	January 7, 2005
First Named Inventor	Hirohisa TANAKA
Art Unit	1754
Examiner Name	Cam N. NGUYEN
Attorney Docket Number	71465.0008

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5,439,865	08-08-1995	Abe et al.	
		US- 6,602,479	08-05-2003	Taniguchi et al.	
		US- 5,990,038	11-23-1999	Suga et al.	
		US- 5,462,907	10-31-1995	Farrauto et al.	
		US- 5,814,576	09-29-1998	Yamamoto	
		US- 5,491,120	02-13-1996	Voss et al.	
		US- 5,212,142	05-18-1993	Dettling	
		US- 5,139,992	08-18-1992	Tauster et al.	
		US- 5,254,519	10-19-1993	Wan et al	
		US- 5,837,642	11-17-1998	Tanaka et al.	
		US- 5,849,659	12-15-1998	Tanaka et al.	
		US- 6,464,946	10-15-2002	Yamada et al,	
		US- 6,682,706	01-27-2004	Yamamoto et al.	
		US- 6,808,687	10-26-2004	Uenishi et al.	
		US- 6,881,384	04-19-2005	Uenishi et al.	
		US- 6,261,989	07-17-2001	Tanaka et al.	
		US- 6,864,214	03-08-2005	Uenishi et al.	
		US- 6,576,200	06-10-2003	Yamamoto et al.	
		US- 6,620,762	09-16-2003	Tan et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		JP 2001-224963	08-21-2001	Nissan Motor		✓
		JP H07-308578	11-28-1995	Daihatsu Motor Co., Ltd.		✓
		EP 0 525 677 A1	07-24-1992	Daihatsu Motor Co., Ltd.		
		JP H08-224446	09-03-1996	Daihatsu Motor Co., Ltd.		✓
		JP 2000-256017	09-19-2000	Nissan Motor Co., Ltd.		✓
		JP 60-025544	02-08-1985	Toyota Motor Corp.		✓

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO

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Complete if Known

Application Number	10/520,824
Filing Date	January 7, 2005
First Named Inventor	Hirohisa TANAKA
Art Unit	1754
Examiner Name	Cam N. NGUYEN
Attorney Docket Number	71465.0008

Sheet	2	of	5
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U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)	MM-DD-YYYY			
		JP 62-241552	10-22-1987	Catler Kogyo KK		✓
		JP H07-299360	11-14-1995	Cataler Ind. Co.		✓
		JP H05-245372	09-24-1993	Sekiyu Sangyo Kasseika		✓
		JP H05-031367	02-09-1993	Daihatsu Motor Co., Ltd.		✓
		JP H06-210175	08-02-1994	Daihatsu Motor Co., Ltd.		✓
		JP H07-068175	03-14-1995	Daihatsu Motor Co., Ltd.		✓

Examiner Signature		Date Considered	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Examiner Name	Cam N. NGUYEN
Attorney Docket Number	71465.0008

Sheet	3	of	5
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U. S. PATENT DOCUMENTS

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		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)	MM-DD-YYYY			
		JP H07-080311	03-28-1995	Daihatsu Motor Co., Ltd.		✓
		JP H05-220395	08-31-1993	Daihatsu Motor Co., Ltd.		✓
		JP H05-253484	10-05-1993	Daihatsu Motor Co., Ltd.		✓
		JP 61-191518	08-26-1986	Nippon Soda Co., Ltd.		✓
		JP 2000-143251	05-23-2000	Mitsubishi Materials Corp		✓
		JP 2000-042386	02-15-2000	Nissan Motor Co.		✓

Examiner
Signature

Date
Considered

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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		JP H03-068451	03-25-1991	Toyota Motor Corp.		✓
		JP 61-232217	10-16-1986	Natl Inst for Res . . .		✓
		JP 2000-015097	01-18-2000	Toyota Motor Corp.		✓
		JP H11-262663	09-28-1999	Toyota Motor Corp.		✓
		WO 2004/004899	01-15-2004	Daihatsu Motor Co., Ltd.		✓
		JP H05-509033	02-06-1992	Specialites et Tech . . .		

Date Considered

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	Filing Date		January 7, 2005
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	Art Unit		1754
	Examiner Name		Cam N. NGUYEN
	Attorney Docket Number		71465.0008

Sheet

5

of

5

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		HIROHISA TANAKA et al., An Intelligent Catalyst, Society of Automotive Engineers, 01-2001-1301	
		NOLVEN GUILHAUME et al., Three-Way Catalytic Activity and Oxygen Storage Capacity of Perovskite . . . 1997, page 197-204, volume 165, article number CA971481	
		NOBUHIKO KAJITA et al., Regeneration of Precious Metals in Various Designed Intelligent Perovskite Catalysts, Society of Automotive Engineers, 2002-01-0735	
		HIROHISA TANAKA et al., Regeneration of Palladium . . . , Topics in Catalysis, Volumes 16/17, Nos. 1-4, 2001	
		T. MOTOHIRO et al., Time-resolved Time-of-flight Mass Spectrometry . . . (I), Applied Surface Science 121/122 (1997) 319-322	
		T. MOTOHIRO et al., Time-resolved Time-of-flight Mass Spectrometry . . . (II), Applied Surface Science 121/122 (1997) 323-326	

Examiner
SignatureDate
Considered

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